



SECRET

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:

PEDRO E. FAJARDO

Application No.: 09/900,823

Filed: July 6, 2001

For: **MASS STORAGE DEVICE**

Commissioner for Patents
Attention: **Box Missing Parts**
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Sir:

In response to the Notice to File Missing Parts of Application mailed August 23, 2001, please find enclosed:

- (1) a duly executed Declaration and Power of Attorney with respect to the above-referenced patent application;
- (2) a check in the amount of \$130.00 in payment of the surcharge of 37 CFR § 1.16(e);
- (3) a copy of the Notice to File Missing Parts of Application;
- (4) substitute drawings and specification in compliance with 37 CFR 1.84 (if required); and
- (5) request for corrected filing receipt (if required).

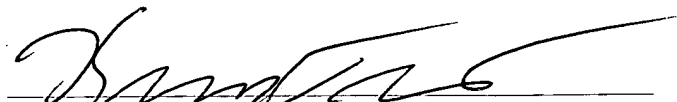
If any additional fee is required, please charge Deposit Account No. 02-2666. An extra copy of the Fee Transmittal is enclosed for deposit account charging purposes.

Respectfully submitted,

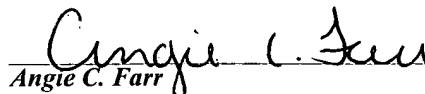
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: September 25, 2001

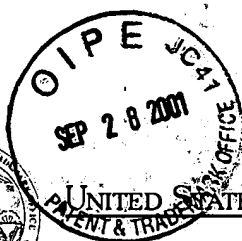
12400 Wilshire Blvd., 7th Floor
Los Angeles, California 90025
Telephone: (503) 684-6200


Kerry D. Tweet, Reg. No. 45,959

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Attention: Box Missing Parts, Washington, D.C. 20231 on: September 25, 2001.


Angie C. Farr
09/25/01
Date

#13



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/900,823 | 07/06/2001 | Pedro E. Fajardo | 42390P9960 |

CONFIRMATION NO. 5227

08791

BLAKELY SOKOLOFF TAYLOR & ZAFMAN
12400 WILSHIRE BOULEVARD, SEVENTH FLOOR
LOS ANGELES, CA 90025

FORMALITIES LETTER



OC000000006465363

Date Mailed: 08/23/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

10/01/2001 HVUONG1 00000004 09900823

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130.00 OP

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PTO/SB/17 (12/99)
Approved for use through 09/30/2000. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 130.00

Complete if Known

Application No. 09/900,823
Filing Date July 6, 2001
First Named Inventor Pedro E. Fajardo
Examiner Name
Group/Art Unit
Attorney Docket Number 42390P9960

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to credit any overpayments to:

Deposit Account Number 02-2666

Deposit Account Name Blakely, Sokoloff, Taylor & Zafman LLP

☒ Charge Any Additional Fee(s) Required Under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.

☐ Applicant claims small entity status. See 37 CFR 1.27.

2. ☒ **Payment Enclosed:**

☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity Fee Code | Small Entity Fee Code | Fee (\$) | Fee (\$) | Fee Description | Fee Paid |
|--------------------------|--------------------------|----------|----------|------------------------|----------|
| 101 | 201 | 710 | 355 | Utility filing fee | |
| 106 | 206 | 320 | 160 | Design filing fee | |
| 107 | 207 | 490 | 245 | Plant filing fee | |
| 108 | 208 | 710 | 355 | Reissue filing fee | |
| 114 | 214 | 150 | 75 | Provisional filing fee | |

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES

| Large Entity Fee Code | Small Entity Fee Code | Fee (\$) | Fee (\$) | Fee Description | Fee Paid |
|--------------------------|--------------------------|----------|----------|---|----------|
| 103 | 203 | 18 | 9 | Claims in excess of 20 | |
| 102 | 202 | 80 | 40 | Independent claims in excess of 3 | |
| 104 | 204 | 260 | 135 | Multiple Dependent claim, if not paid | |
| 109 | 209 | 80 | 40 | **Reissue independent claims over original patent | |
| 110 | 210 | 18 | 9 | **Reissue claims in excess of 20 and over original patent | |

SUBTOTAL (2) (\$)

FEE CALCULATION (continued)

3. ADDITIONAL FEE

| Large Entity Fee Code | Small Entity Fee Code | Fee (\$) | Fee (\$) | Fee Description | Fee Paid |
|--------------------------|--------------------------|----------|----------|--|----------|
| 105 | 205 | 130 | 65 | Surcharge - late filing fee or oath | 130.00 |
| 127 | 227 | 50 | 25 | Surcharge - late provisional filing fee or cover sheet. | |
| 139 | 239 | 130 | 130 | Non-English specification | |
| 147 | 247 | 2,520 | 2,520 | For filing a request for reexamination | |
| 112 | 212 | 920* | 920* | Requesting publication of SIR prior to Examiner action | |
| 113 | 213 | 1,840* | 1,840* | Requesting publication of SIR after Examiner action | |
| 115 | 215 | 110 | 55 | Extension for response within first month | |
| 116 | 216 | 390 | 195 | Extension for response within second month | |
| 117 | 217 | 890 | 445 | Extension for response within third month | |
| 118 | 218 | 1,390 | 695 | Extension for response within fourth month | |
| 128 | 228 | 1,890 | 945 | Extension for response within fifth month | |
| 119 | 219 | 310 | 155 | Notice of Appeal | |
| 120 | 220 | 310 | 155 | Filing a brief in support of an appeal | |
| 121 | 221 | 270 | 135 | Request for oral hearing | |
| 138 | 238 | 1,510 | 1,510 | Petition to institute a public use proceeding | |
| 140 | 240 | 110 | 55 | Petition to revive - unavoidable | |
| 141 | 241 | 1,240 | 620 | Petition to revive - unintentional | |
| 142 | 242 | 1,240 | 620 | Utility issue fee (or reissue) | |
| 143 | 243 | 440 | 220 | Design issue fee | |
| 144 | 244 | 600 | 300 | Plant issue fee | |
| 122 | 222 | 130 | 130 | Petitions to the Commissioner | |
| 123 | 223 | 130 | 130 | Petitions related to provisional applications | |
| 126 | 226 | 180 | 180 | Submission of Information Disclosure Stmt | |
| 581 | 281 | 40 | 40 | Recording each patent assignment per property (times number of properties) | |
| 146 | 246 | 710 | 355 | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 149 | 249 | 710 | 355 | For each additional invention to be examined (37 CFR 1.129(b)) | |
| 179 | 279 | 710 | 355 | Request for Continued Examination (RCE) | |
| 169 | 269 | 900 | 900 | Request for expedited examination of a design application | |

Other fee (specify) _____
Other fee (specify) _____

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 130.00

SUBMITTED BY

Complete (if applicable)

Name (Print/Type) Kerry D. Tweet Registration No. 45,959 Telephone (503) 684-6200
Signature [Signature] Date 09/25/01

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2039.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED